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► **To cite this version:**

Hacene Bouhoun Ali, Pierre-Emmanuel Bournet, Patrice Cannavo, Etienne E. Chantoiseau. Using CFD to improve the irrigation strategy for growing ornamental plants inside a greenhouse. *Biosystems Engineering*, 2019, 186, pp.130-145. 10.1016/j.biosystemseng.2019.06.021 . hal-02271272

**HAL Id: hal-02271272**

**<https://institut-agro-rennes-angers.hal.science/hal-02271272>**

Submitted on 25 Oct 2021

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# 1 Using CFD to improve the irrigation strategy for growing ornamental 2 plants inside a greenhouse.

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## 7 8 **Abstract**

9 In order to cope with water scarcity, improved water management should be implemented to  
10 reduce water inputs without affecting production. A better quantifying of the heat and water  
11 vapour transfers in response to water restriction is thus needed. Distributed climate models,  
12 with the addition of transfers through the substrate-plant-atmosphere continuum calculation is  
13 a useful tool. However, such models have generally been established for plants grown in well-  
14 watered conditions. This study aimed to simulate the transpiration of plants grown in pots and  
15 the resulting microclimate in a greenhouse compartment under different irrigation regimes.  
16 An experiment was conducted on New Guinea impatiens grown in containers on shelves, in a  
17 100-m<sup>2</sup> greenhouse compartment. A 2D transient CFD model was implemented, including a  
18 specific sub-model taking into account the water transport in the substrate-plant-atmosphere  
19 continuum, as well as the resulting crop interactions with the greenhouse climate for both  
20 well-watered and restricted water conditions. The substrate water content was calculated from  
21 the water balance. Special care was paid to model the stomatal resistance. Simulation results  
22 showed the model ability to correctly predict transpiration, air and leaf temperatures, as well

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23 as greenhouse air humidity for both irrigation conditions. Different irrigation scenarios were  
24 then tested by reducing the water supply from 100 to 50 % of the substrate retention capacity.  
25 Simulations allow assessing the model responses on plant transpiration, growing media water  
26 potential and climate distribution inside the greenhouse. Consequently, the CFD model could  
27 be useful to define an irrigation strategy for a better water input management.

28 **Keywords:** Crop model, irrigation, matric potential, Penman-Monteith equation, stomatal  
29 resistance, unsteady-state conditions, greenhouse.

### 30 **Nomenclature**

31  $C_D$  Drag coefficient

32  $C_F$  Non-linear momentum loss coefficient

33  $C_p$  Specific heat of air, J kg<sup>-1</sup> K<sup>-1</sup>

34 CTR Cumulated transpiration ratio

35  $D_{ref,j}$  Difference between the considered irrigation case  $j$  and the reference case

36  $H$  Plant height, m

37  $K_c$  Extinction coefficient for solar radiation

38  $k$  Turbulent kinetic energy, m<sup>2</sup> s<sup>-2</sup>

39  $LAD$  Leaf area density, m<sup>2</sup> m<sup>-3</sup>

40  $M$  Molecular weight of species, kg mol<sup>-1</sup>

41  $N$  Dimensionless parameter

42  $P$  Pressure, Pa

43  $Q_s$  Sensible heat flux density, W m<sup>-2</sup>

44  $R_a$  Leaf aerodynamic resistance, s m<sup>-1</sup>

45  $R$  Universal gas constant, J Mol<sup>-1</sup> K<sup>-1</sup>

46  $rg$  Reduced global radiation

47	$R_{g0}$	Above-canopy global radiation, $\text{W m}^{-2}$
48	$R_g$	Global radiation
49	$rh$	Reduced relative air humidity
50	$RH$	Relative air humidity, %
51	$RMSE$	Root mean square error
52	$R_n$	Net radiation, $\text{W m}^{-2}$
53	$r_{s,min}$	Minimal leaf stomatal resistance, $\text{s m}^{-1}$
54	$R_s$	Leaf stomatal resistance, $\text{s m}^{-1}$
55	$s_s$	Total area of shelves, $\text{m}^2$
56	$S_\phi$	Source term
57	$t_s$	Time step interval, s
58	$T$	Temperature, K
59	$Tr_d$	Latent heat flux density, $\text{W m}^{-3}$
60	$U, V$	Components of the velocity vector, $\text{m s}^{-1}$
61	$VPD$	Vapour pressure deficit, Pa
62	$y$	Variable
63	$\alpha$	Parameter, $\text{kPa}^{-1}$
64	$\gamma$	Psychrometric constant, $\text{Pa K}^{-1}$
65	$\Delta$	Slope of the saturated water vapour pressure curve, $\text{Pa K}^{-1}$
66	$\varepsilon$	Dissipation rate, $\text{m}^2 \text{s}^{-3}$
67	$\theta$	Volumetric water content, v/v
68	$\lambda$	Water latent heat of vaporisation, $\text{kJ kg}^{-1}$
69	$\Gamma$	Diffusion coefficient, $\text{Kg m}^{-1} \text{s}^{-1}$
70	$\mu$	Dynamic viscosity, $\text{kg m}^{-1} \text{s}^{-1}$

71	$\rho$	Density, kg m <sup>-3</sup>
72	$\tau$	Reduced temperature
73	$\Phi$	Concentration of transported quantity
74	$\Psi$	Peat matric potential, kPa
75	$\omega$	Mass fraction
76	<b>Subscripts</b>	
77	<i>a</i>	Air
78	<i>e</i>	East
79	<i>abs</i>	Absorbed
80	<i>atm</i>	Atmospheric
81	<i>avg</i>	Volume-weighted average
82	<i>c</i>	Well-watered
83	<i>w_g</i>	Ground
84	<i>l</i>	Leaf
85	<i>out</i>	<i>Outside</i>
86	<i>PAR</i>	Photosynthetically active radiation
87	<i>r</i>	Water restriction
88	<i>res</i>	Residual
89	<i>sc</i>	Screen
90	<i>sat</i>	Saturation
91	<i>sky</i>	Sky
92	<i>w</i>	Water
93	<i>w</i>	West

94 **1 INTRODUCTION**

95 Reducing water consumption in greenhouses by increasing the efficiency of its use is of  
96 prime interest, not only for environmental reasons but also for economic ones. In order to  
97 better manage water resources, it is necessary to develop adapted strategies. Currently, the  
98 question of an optimal control of irrigation for ornamental crops grown in greenhouses has  
99 not been investigated to the same extent as it has been for open field crops. The aim is thus to  
100 reduce the water consumption of the plants without significantly impacting their transpiration  
101 and growth rate. The response of plants to different irrigation regimes could be assessed using  
102 a modelling approach. The key factor that controls transpiration is stomatal resistance, which  
103 is a function of the stomatal aperture that decreases with reduced irrigation, impacting not  
104 only transpiration but CO<sub>2</sub> absorption for photosynthesis as well and, consequently, plant  
105 growth. A compromise must therefore be found between transpiration and photosynthesis to  
106 cope with the contradiction between a lower transpiration rate for an optimal management of  
107 water resources, and the expected vegetative development resulting from photosynthetic  
108 activity (Monteith, 1977). Furthermore, transpiration cools the leaves and impacts the  
109 distribution of several climatic variables in the vicinity of the plants, such as temperature and  
110 humidity (Kichah, Bournet, Migeon, & Boulard, 2012).

111 Computational fluid dynamics (CFD) is a powerful tool that makes it possible to predict  
112 the distribution of the climatic variables inside a greenhouse and to test different  
113 configurations without incurring high costs. Modelling the microclimate and transpiration rate  
114 distribution under greenhouse conditions has been extensively investigated through CFD tools  
115 (Boulard & Wang, 2002; Majdoubi, Boulard, Fatnassi, & Bourden, 2009; Nebbali, Roy, &  
116 Boulard, 2012) but only for well-watered conditions. A recent study of (Bouhoun Ali,  
117 Bournet, Cannavo, & Chantoiseau, 2018) considered the case of water restriction, but  
118 calculations were only applied to a limited domain (23× 3.69 m<sup>2</sup>) around the plants. To date,

119 there is no CFD study that simulates restricted water conditions and their impact on  
120 microclimate and plant transpiration at the greenhouse scale.

121 The objective of this study was to implement an accurate unsteady 2D CFD model at the  
122 greenhouse scale to simulate the water transfer in the substrate-plant-atmosphere continuum  
123 and the microclimate distribution inside a greenhouse for different irrigation regimes.

124 In the first stage, the model was validated against data recorded inside a greenhouse  
125 compartment containing a New Guinea impatiens crop both for well-watered and restricted  
126 water conditions. A series of irrigation scenarios was then tested to assess the behaviour of the  
127 plants in response to water restrictions together with the microclimate generated mainly in the  
128 vicinity of the plants, and to suggest recommendations to reduce water inputs without  
129 significantly impacting on plant transpiration.

## 130 **2 MATERIALS AND METHODS**

### 131 **2.1 Experimental device**

#### 132 **2.1.1 Experimental setup**

133 Experiments took place in Angers in north-western France (47°28' N, 0°33' E) in  
134 2014, inside a 100-m<sup>2</sup> Venlo glasshouse compartment (CMF Group, Varades, France) that  
135 was part of a larger greenhouse (~3000 m<sup>2</sup>) and that was separated from the adjacent  
136 compartments by glass walls. The compartment (gutter height: 3.9 m; ridge height: 5.9 m)  
137 was covered with a 4-mm thick horticultural glass and equipped with continuous roof vents  
138 on both ridge sides that were opened during the day and closed at night. In order to avoid  
139 excessive temperatures, a shade screen was used and the roof vents were fully opened as soon  
140 as external temperatures exceeded 20°C. Inside the greenhouse, young Impatiens plants  
141 (Novae-Guinea, cv. 'Sonic Scarlet') were grown on shelves and potted in 0.74 l containers  
142 (height: 87 mm) filled with fine peat (particle size: 0-2 mm) with homogeneous peat bulk

143 density (i.e., 120 kg dw m<sup>-3</sup>). The plants were uniformly placed on four shelves (3 m × 1.5 m  
144 each), 0.8 m above the ground. The entire shelf area was covered by the crop, corresponding  
145 to a canopy area of 18 m<sup>2</sup>.

146 Three shelves were equipped with well-watered plants while the fourth one was  
147 equipped with plants under water restriction. Plants were normally watered twice a day (6  
148 AM, 11 AM) by sub-irrigation using a complete nutrient solution. For plants under well-  
149 watered the water potential in the peat was maintained above -2 kPa, while the irrigation was  
150 periodically stopped on the fourth shelf until plants showed visual signs of water stress.

151 During the measurement period in June 2014, the leaf area index (LAI) was  
152 2.36 m<sup>2</sup> m<sup>-2</sup> for a plant density of 15 plants per m<sup>2</sup> and an average plant height of 240 mm.  
153 The leaf area was estimated by using a destructive method that consisted of cutting the leaves  
154 of four selected plants and determining the area of their leaves with an image analyser.  
155 Flowers were regularly removed during the experiment.

### 156 **2.1.2 Microclimate measurements**

157 A set of sensors was used to measure the climate characteristics inside and outside the  
158 greenhouse. Inside the greenhouse, only two shelves among the four available were equipped  
159 with different sensors, i.e., one shelf with plants under well-watered conditions and another  
160 one with plants under restricted water conditions; a schematic view of the experimental device  
161 is given in Fig. 1. The temperature ( $Ta$ ) and relative humidity ( $RHa$ ) of the air were measured  
162 by ventilated sensors (Vaisala HMP45C, Campbell Scientific Ltd., Antony, France; accuracy:  
163  $\pm 0.1^\circ\text{C}$  for T and  $\pm 2\%$  for RH) located outside the greenhouse ( $Ta_{out}$ ,  $RHa_{out}$ ) and inside  
164 the greenhouse at 150 mm above the crop ( $Ta1$ ,  $RHa1$ ), and inside the crop ( $Ta2$ ,  $RHa2$ ). The  
165 measurements were made on two shelves, both for well-watered and restricted water  
166 conditions.

167 The ground temperature under the shelves ( $T_{w\_g}$ ), the temperatures of the lateral walls of the  
168 greenhouse ( $T_w$ ) and the shade screen temperature ( $T_{sc}$ ) were monitored with Pt100 probes  
169 (TC Online, France). The downward and the upward short and long wavelength radiations  
170 outside and inside the greenhouse were recorded by a CNR1 pyrradiometer (Kipp & Zonen,  
171 Delft, The Netherlands; accuracy:  $\pm 10\%$ ). The wind velocity outside the greenhouse was  
172 measured with a cup anemometer (HA 430A,  $\pm 0.11 \text{ m s}^{-1}$ ; Geneq Inc., Canada). All the  
173 above-mentioned parameters were measured every 3 seconds and averaged online over 10-  
174 min periods with a data logger system (CR3000 and CR7, Campbell Scientific Ltd., Antony,  
175 France).

### 176 2.1.3 Shade screen and material properties

177 Particular attention was paid to the modelling of the shade screen, with the aim of  
178 determining its radiative proprieties (i.e., refractive index and extinction coefficient). To reach  
179 this goal, preliminary steady-state simulations were conducted at 12 PM, and a range of  
180 values of the refractive index and extinction coefficient were tested until it was possible to  
181 correctly predict the measured temperature of the screen together with the measured short  
182 wave radiation just under the shade screen (312 K and  $95 \text{ W m}^{-2}$ , respectively, at 12 PM). On  
183 the basis of these preliminary simulations, values of 1.9 for the refractive index and  $60 \text{ m}^{-1}$  for  
184 the extinction coefficient were retained. With these values, a transmittance of 0.50 was  
185 obtained, which is very close to that given by (Montero, Anton, Biel, & Franquet, 1990), i.e.,  
186 0.45, for the same type of shade screen. Physical and thermal proprieties of the different  
187 materials involved in the studied system are provided in Table.1.

### 188 2.1.4 Substrate properties

189 The peat matric potential ( $\Psi$ , kPa) and volumetric water content ( $\theta$ , v/v) were  
190 measured at mid-height inside six containers with six tensiometers (SDEC 1300, France;

191 accuracy:  $\pm 0.2\%$  kPa), and six volumetric water content sensors (EC-5, Decagon, Dardilly,  
192 France; accuracy:  $\pm 3\%$   $\text{m}^3 \text{m}^{-3}$ ). Three of them were placed inside well-watered containers  
193 and the other three inside restricted water containers. These parameters were also measured at  
194 3-s intervals and averaged online over 10-min periods.

### 195 **2.1.5 Plant activity**

196 The temperatures of the leaves ( $T_l$ ) at the top and the bottom of the crop were recorded  
197 at 3-second intervals with copper-constantan (Cu-Cs) thermocouples glued to the underside of  
198 three plant leaves at each position. The six measurements (three top and three bottom) were  
199 then averaged for the two positions over 10-min periods for each water condition. The  
200 stomatal resistance of the leaves was also measured using a porometer (AP4, Delta-T Device,  
201 Cambridge, UK). The accuracy of this device was  $\pm 20 \text{ s m}^{-1}$  for  $R_s$  in the 20-50  $\text{s m}^{-1}$  range,  
202 and  $\pm 10\%$  in the 50-4000  $\text{s m}^{-1}$  range. Five measurements were undertaken for green, young,  
203 healthy, fully-expanded leaves of different plants for each water condition and considering  
204 sunlit leaves. The measurements of  $R_s$  were replicated every half hour from 8 AM to 8 PM on  
205 selected days.

206 The amount of water lost by transpiration was measured with two high-resolution  
207 electronic scales (Melter-Toledo, Greifensee, Switzerland; capacity: 16 kg; accuracy:  $\pm 0.1 \text{ g}$ )  
208 located under two shelves, approximately at the centre (Fig. 1), each one bearing six  
209 containers. One scale measured the transpiration of plants under well-watered conditions  
210 while the other one recorded the transpiration of plants under water restriction. Water loss was  
211 recorded every hour and the corresponding latent heat flux ( $Tr$ ) was then expressed in  $\text{W m}^{-2}$   
212 of ground area. Preliminary measurements showed that evaporation from the ground was  
213 negligible, meaning that transpiration could be assimilated to evapotranspiration.

## 214 2.2 Numerical modelling

215 The CFD simulations were carried out with the finite volume commercially-available  
216 CFD package, Ansys Fluent™ 15 (ANSYS Inc., Canonsburg, PA, USA). This numerical tool  
217 solves the unsteady 2D Navier-Stokes conservation equations for mass (air and water vapour),  
218 momentum and energy. The interaction of plants with the microclimate, radiative transfers  
219 and the impact of substrate water content on transpiration were also included in the numerical  
220 tool. A 2D simulations approach was chosen, although 3D simulations are now widespread,  
221 for several reasons: (i) Many CFD studies of very specific mechanisms (ventilation, radiation,  
222 condensation) have been first conducted in 2D and then been transposed to 3D (Bournet &  
223 Boulard, 2010) because 2D makes it easier to assess specific mechanisms, and their coupling,  
224 by offering a better and easier control of the system. (ii) Most of the conducted 3D studies  
225 including a crop sub-model were undertaken under steady state conditions (Boulard, Roy,  
226 Pouillard, Fatnassi, & Grisey, 2017; Fatnassi, Boulard, Poncet, & Chave, 2006; Kichah et al.,  
227 2012b; Majdoubi et al., 2009). Only few study such as Nebbali et al. (2012) conducted a  
228 transient 3D study including a crop sub-model but without any validation. (iii) Validation of  
229 CFD models remains hard, due to the difficulty to map the parameters of interest  
230 (temperature, relative humidity, leaf temperature...) inside the whole building. (iv) Even if the  
231 power of computers continuously increases, the CPU time required to carry out transient 3D  
232 simulations remains prohibitive, CFD for 3D flow requires a greater number of discretization  
233 elements, and arranging a grid raises additional problems.

234 Conservation transport equations were solved using a second-order upwind discretization  
235 scheme to obtain better accuracy with a limited risk of divergence. A semi-implicit method  
236 for pressure-linked equations was adopted to solve the coupled pressure-momentum  
237 equations. The convergence criterion for all variables was  $10^{-6}$ . The settings of the  
238 implemented CFD simulations are shown in Table 4.

### 239 **2.2.1 Fundamental equations**

240 Only two-dimensional cases were considered in this study because the prevailing flow  
241 rate was preferentially in a 2D plane perpendicular to the ridge as a consequence of the  
242 prevailing wind direction that was generally perpendicular to the vent openings. The transport  
243 equations may be written in the following general form (Eq. 1):

$$244 \quad \frac{\partial \Phi}{\partial t} + \frac{\partial(U\Phi)}{\partial x} + \frac{\partial(V\Phi)}{\partial y} = \Gamma \Delta \Phi + S_{\Phi} \quad (1)$$

245 where  $\Phi$  represents the concentration of the non-dimensional transported quantity, namely  
246 momentum, mass (air and water vapour mass fraction) and energy;  $U$  and  $V$  are the  
247 components of the velocity vector;  $\Gamma$  is the diffusion coefficient; and  $S_{\Phi}$  is the source term.  
248 The  $k$ - $\varepsilon$  turbulence model (Launder & Spalding, 1974) was chosen as a closure model because  
249 it provided good agreement with experimental data in a number of studies for similar  
250 greenhouses ( Bournet, Ould Khaoua, & Boulard, 2007; Nebbali et al., 2012). Air density  
251 depends not only on the temperature but also on the water vapour content in the air. Thus, the  
252 Boussinesq model cannot be applied, and the ideal gas law was used in order to link the fluid  
253 density to the other variables. The density was defined as a function of the temperature and  
254 mass fractions of the components of the mixture according to:

$$255 \quad \rho = \frac{P_{op}}{RT \sum_i \frac{\omega_i}{M_i}} \quad (2)$$

256 where  $R$  ( $= 8.31 \text{ J Mol}^{-1} \text{ K}^{-1}$ ) is the universal gas constant,  $P_{op}$  (Pa) is the operating pressure,  
257  $\omega_i$  ( $\text{kg kg}^{-1}$ ) is the mass fraction of species  $i$ , and  $M_i$  ( $\text{kg mol}^{-1}$ ) is the molecular weight of  
258 species  $i$ .

### 259 **2.2.2 Radiative sub-model**

260 A radiative sub-model was activated in order to take account of the thermal  
261 contribution of radiative transfers and to serve as input for the transpiration sub-model. It

262 distinguished the contribution of short [0.1 - 3  $\mu\text{m}$ ] and long wavelength radiation [3 - 100  
263  $\mu\text{m}$ ] since the optical properties of the glass strongly depend on the wavelength band  
264 considered. The sub-model solves the equation of luminance for a finite number of discrete  
265 solid angles. The discrete ordinate (DO) method was chosen to calculate the radiation  
266 component since it proved to be efficient for comparable studies (Bournet et al., 2007; P.-E.  
267 Bournet & Boulard, 2010). The net contribution of radiation per unit volume in the energy  
268 equation was then calculated from the spatial integration of the monochromatic luminance  
269 over the whole wavelength spectrum. A full description of the bi-band model used in the  
270 present study may be found in Bournet et al. (2007). The canopy was considered as non-  
271 diffusive, meaning that only the direct fraction of the solar radiation was considered.

### 272 **2.2.3 Crop sub-model**

273 The crop was assimilated to a homogeneous porous medium made of a solid matrix  
274 with connected pores and creating a resistance to air movement. The crop exerted a  
275 mechanical strain onto the flow and interacted with the mass and energy balance of the air.  
276 The pressure loss induced by the crop resistance to air movement is represented in the Navier-  
277 Stokes equations using the Darcy-Forchheimer equation, as described by Kichah et al. (2012).  
278 The drag force per unit volume was expressed as a quadratic term of the velocity following  
279 Boulard and Wang (2002). The drag coefficient was estimated to 0.32, which, according to  
280 Kichah et al. (2012), is appropriate for an Impatiens crop According to these authors, the  
281 value of this coefficient appeared to be hardly affected by the hydric regime.

282 The energy balance along the leaves may be written as follows (Eq. 3):

$$283 \quad Rg_{abs} - Tr_d - Q_s = 0 \quad (3)$$

284 meaning that the canopy absorbed a radiation  $Rg_{abs}$  ( $\text{W m}^{-3}$ ), which resulted from the solar  
285 radiation and exchanges caused by both a latent heat flux density  $Tr_d$  ( $\text{W m}^{-3}$ ) by transpiration

286 and a sensible heat flux density  $Q_s$  ( $\text{W m}^{-3}$ ) with the ambient air. The absorbed radiation  $Rg_{abs}$   
 287 ( $\text{W m}^{-3}$ ) in each cell of the canopy can be directly deduced from Beer's law, as described in  
 288 Bouhoun Ali et al. (2018). The radiation extinction coefficient that appears in Beer's law was  
 289 estimated from PAR radiation measurements above and below the canopy at a value of 0.95  
 290 for well-watered and 0.64 for restricted water conditions in this study. This difference was  
 291 explained by the hydric stress signs that appeared on leaves for plants under water restriction  
 292 as soon as the matric potential reached -10kPa. The sensible heat flux at the canopy level was  
 293 determined by Eq. 4:

$$294 \quad Q_s = 2 \cdot LAD \cdot \rho_a \cdot C_p \frac{T_l - T_a}{R_a} \quad (4)$$

295 where  $\rho_a$  is the air density ( $\text{kg m}^{-3}$ ),  $C_p$  is the specific heat of air under constant pressure  
 296 ( $\text{J kg}^{-1} \text{K}^{-1}$ ), and  $T_l$  and  $T_a$  are the temperatures of leaves and air, respectively. The leaf  
 297 temperature  $T_l$  was deduced from Eq. 5:

$$298 \quad T_l = \frac{R_a}{2LAD\rho_a C_p} (Rg_{abs} - Tr_d) - T_a \quad (5)$$

299 The latent heat density (transpiration rate density) is given by Eq. 6:

$$300 \quad Tr_d = \frac{Rg_{abs} + 2 \rho_a LAD C_p VPD_a / R_a}{\Delta + 2 \gamma \left(1 + \frac{R_s}{R_a}\right)} \quad (6)$$

301 where  $\gamma$  is the psychrometric constant,  $VPD_a$  is the air-air vapour pressure deficit (Pa), and  $\Delta$   
 302 is the slope of the saturated water vapour pressure curve according to temperature. In the  
 303 present study, the aerodynamic resistance  $R_a$  was considered as constant according to (Baille,  
 304 Baille, & Laury, 1994):  $R_a = 271 \text{ s m}^{-1}$ .  $R_s$  is the stomatal resistance ( $\text{s m}^{-1}$ ) calculated for each  
 305 cell in the canopy domain.  $R_s$  was obtained from Bouhoun Ali, Bournet, Cannavo,  
 306 Chantoiseau, & Sourgnès (2016) who used a Full Factorial Design method to determine the  
 307 stomatal resistance from climatic and edaphic measurements according to Eq. 7:

$$308 \quad R_s = \left( \frac{-115 \cdot rg - 139 \cdot rh - 39 \cdot t + 139 \cdot rg \cdot rh + 43 \cdot rg \cdot t}{+11 \cdot rh \cdot t + 661 \cdot rg^2 - 368 \cdot rg^3} \right) \cdot \left( 1 + \left( \frac{\psi}{-11.41} \right)^{1.05} \right) \quad (7)$$

309 where  $rg = (Rg-75)/75$ ;  $rh=(RHa-65)/10$ ;  $t=(Ta-20.5)/5.5$ , and  $\Psi$  is the soil matric potential  
 310 (kPa) deduced from the van Genuchten (1980) model (Eq. 8) given the soil water content  $\theta$   
 311 ( $\text{m}^3 \text{ m}^{-3}$ ).

$$312 \quad \Psi = \left[ \frac{1}{\alpha} \left( \frac{\theta - \theta_{res}}{\theta_{sat} - \theta_{res}} \right)^{\frac{N}{N-1}} - 1 \right]^{1/N} \quad (8)$$

313 where  $\theta_{res}$ ,  $\theta_{sat}$  are the residual and saturation soil volumetric water content ( $\text{m}^3 \text{ m}^{-3}$ ), and  $\alpha$   
 314 ( $\text{kPa}^{-1}$ ) and  $N$  (dimensionless) are the parameters to be calibrated. Using a multilinear fit, we  
 315 found  $\theta_{sat} = 0.887$  ( $\text{m}^3 \text{ m}^{-3}$ ),  $\theta_{res} = 0.1$  ( $\text{m}^3 \text{ m}^{-3}$ ),  $\alpha = 0.134$  ( $\text{kPa}^{-1}$ ), and  $N = 1.469$ . Furthermore,  
 316 the volumetric water content was calculated at each time step by integrating the transpiration  
 317 and deduced from the initial volumetric water content (which depends on the initial amount of  
 318 irrigation).

#### 319 **2.2.4 Mesh and boundary conditions**

320 The calculation domain ( $29.40 \times 10.15 \text{ m}^2$ ) was restricted to three greenhouse  
 321 compartments to limit side effects, but only the central compartment including the shelves, the  
 322 pots and the plants is analysed (Fig. 2). The total height of the plants was 330 mm, including  
 323 the pots (90 mm), and the thickness of the shelf was 5 mm. A 2-mm-thick shade screen made  
 324 of polyethylene and aluminium placed above the shelves was considered, with two chimneys  
 325 of 250 mm tall on each side of the greenhouse, as well as 4-mm-thick glass walls.

326 An orthogonal structured mesh ( $151 \times 330$  cells) was retained for simulations with a refined  
 327 grid in the vicinity of the ground and shelves, and a higher density in the area of the plants.  
 328 The canopy consisted of  $10 \times 100$  cells. Particular attention was paid to ensure the  
 329 independence of the numerical results from the influence of the grid. After several attempts  
 330 with different densities, a grid with 49,830 cells was chosen. It was a compromise between a

331 dense grid that would require a long computational time, and a coarser grid that would have  
332 weakened the quality of the numerical results.

333 All boundaries conditions were established from the measurements provided by the different  
334 sensors (Fig. 1). The influence of external factors such as temperature, humidity and radiation  
335 was introduced through the boundary conditions as follows (Fig. 2):

336 (1) At the inlet section, a fixed velocity (average) was imposed using an average value  
337 ( $2 \text{ m s}^{-1}$ ) established from previous studies. The corresponding turbulent kinetic  
338 energy  $k$  in  $\text{m}^2 \text{ s}^{-2}$  and dissipation rate profiles in  $\text{m}^2 \text{ s}^{-3}$  at the inlet were calculated as a  
339 function of the friction velocity according to the formula established by Richards &  
340 Hoxey (1993). Uniform temperature ( $Ta_{out}$ ) and absolute humidity ( $AHa_{out}$ )  
341 profiles obtained from the ventilated probe located outside the greenhouse were also  
342 imposed at the inlet boundary. The downward long wavelength radiative flux  
343 resulting from the emission of the atmospheric gases (e.g., water steam, carbonic gas  
344 and the ozone layer) was imposed through a sky temperature deduced from the long  
345 wavelength radiative flux measurements (Bournet et al., 2007). The sky temperature  
346  $T_{sky}$  is defined as the temperature of the equivalent black body (emissivity = 1) that  
347 absorbs the same thermal radiation as the sky.

348 (2) Fixed temperatures were imposed for all lateral walls ( $T_w$ ). Values were inferred from  
349 measurements at mid-height of walls (Fig. 1).

350 (3) Wall-type boundary conditions were used along the ground where a standard  
351 logarithmic wall function was imposed. The time series of temperature ( $T_w_g$ ) and  
352 humidity, recorded at ground level, were also used to impose the corresponding  
353 boundary conditions.

354 (4) Along the upper limit of the calculation domain, downward short and long wave

355 radiations ( $R_{g\_out}$ ,  $R_{atm\_out}$ ) were imposed. Only downward short-wave radiation  
356 with a direction perpendicular to the upper limit was considered since it was assumed  
357 that the shade screen totally diffused the solar radiation, meaning that the solar  
358 direction had almost no impact on the resulting short wave radiation distribution under  
359 the screen. A slipping wall-type condition with no shear was also set at the upper limit,  
360 meaning that no mass transfer was allowed through this surface.

361 (5) At the outlet section, a pressure outlet condition was imposed, requiring the  
362 specification of a static pressure at the outlet boundary ( $P = 101,325$  Pa), together with  
363 the infrared radiation (same value as the one retained for the inlet). All other flow  
364 quantities were extrapolated from the interior, corresponding to zero normal gradients.

365 The shelves were taken as grey bodies with an equivalent emissivity of 0.1, chosen in order to  
366 take account of the absorption capacity of the crop covering the shelves. The emissivity of the  
367 ground (which consists of concrete) was fixed to 0.5. Furthermore, the shade screen was  
368 considered to be a fully diffuse solid semi-transparent medium and was assumed to be a solid  
369 medium instead of a porous medium. This is because it was not possible to integrate the  
370 reflected part of the incident radiation for a porous medium with the latest version of Fluent  
371 and, in the present case, it was established that the reflected part of the incident radiation on  
372 the shade screen was the most important one. The thermal conductivity of the shade screen  
373 was taken as the thermal conductivity of polyethylene, i.e.,  $0.15 \text{ W m}^{-1} \text{ K}^{-1}$ , because it  
374 consisted primarily of polyethylene (84% compared with 16% of aluminium).

375 The model was run under unsteady-state conditions, meaning that the boundary conditions  
376 were re-actualized at each time step, and the field of variables calculated at the previous time  
377 step was used as the initial conditions for the current time step. To define the right time step,  
378 we tested several time step sizes (10 min and 1 h) and observed almost no difference for  
379 results at a given instant. This means that a solution at a given time is rather independent from

380 the solution at the previous time step, but mainly the consequence of the evolution of the  
381 boundary conditions. Consequently, a 1 h time step was retained for simulations.

### 382 **2.3 Case study**

383 The model was first validated against data recorded inside the experimental  
384 greenhouse under clear sky conditions, distinguishing two irrigation strategies: the first case  
385 consisted of well-watered plants, while the second one consisted of restricted water plants.  
386 For both cases, 2D unsteady simulations over a 23-hour period with a 1-hour time step were  
387 carried out from 11 pm on June 17<sup>th</sup> to 10 pm on June 18<sup>th</sup>, 2014. The irrigation was stopped  
388 on June 13<sup>th</sup> for plants under water restriction. The experimental climatic measurements were  
389 used as input data for the initial and boundary conditions (Figs. 3A, 3B). Initial conditions at  
390 11 pm were obtained by running a preliminary simulation using the boundary conditions  
391 recorded at 10 pm and assumed to be uniformly distributed over the whole calculation  
392 domain.

393 The initial peat water content was  $0.870 \text{ m}^3 \text{ m}^{-3}$  (i.e., peat water field capacity at  $\Psi = -1 \text{ kPa}$ )  
394 for well-watered plants, whereas it was equal to  $0.652 \text{ m}^3 \text{ m}^{-3}$  for plants under water  
395 restriction. A sub-model based on the water balance was used (Eq. 5) to calculate the soil  
396 matric potential at each time step. For the well-watered case, the soil matric potential was  
397 considered as constant and its value was fixed at  $-1 \text{ kPa}$ .

398 The evolution of the boundary conditions used for the simulation is provided in Fig. 3. Data  
399 were recorded every 10 min and averaged over 1-h periods. As expected, for both water  
400 regimes, the evolution of the boundary conditions revealed a correlation between the global  
401 radiation and the temperature, with a peak of temperature at around 2 pm.

## 402 **2.4 Statistical analysis**

403 To estimate the difference between the simulated variables for the different irrigation  
404 regimes and the simulated variables for the reference case, the gap between the considered  
405 irrigation case  $j$  and the reference case  $D_{ref,j}$  given by Eq. 9 was calculated for each variable  
406 together with the cumulated transpiration ratio (CTR) (i.e., cumulated  $Tr$  for a given irrigation  
407 regime divided by the cumulated  $Tr$  of the reference):

$$408 \quad D_{ref,j} = \sqrt{\frac{1}{k} \sum_{i=1}^k (y_{ref,i} - y_{j,i})^2} \quad (9)$$

409 where  $y_{ref,i}$  is the variable for the reference case at time step  $i$ ,  $y_{j,i}$  is the variable for an  
410 irrigation regime of  $j$  % at time step  $i$ , and  $k$  is the total number of data inputs.

## 411 **3 RESULTS AND DISCUSSION**

### 412 **3.1 Model validation**

413 Validation of the model was undertaken both for well-watered plants and for plants under  
414 water restriction considering a first case with only well-watered plants and a second case with  
415 only plants under water restriction. Temperature, humidity and plant properties including  
416 stomatal resistance and transpiration rates were considered for comparison with experimental  
417 data.

#### 418 **3.1.1 Temperature**

419 The time evolutions of the air temperatures at two locations and the average leaf temperatures  
420 for well-watered and restricted water conditions are shown in Figs. 4A, 4B, 5A and 5B. Both  
421 measured and predicted air temperatures inside and above the crop and leaf temperatures  
422 followed the same trend. The order of magnitude of the predicted temperatures was in fair  
423 agreement with measurements for both water regimes (Table 3). For well-watered plants,  $r^2$   
424 (coefficient of determination)  $> 0.91$  and RMSE  $< 1.56$  K for all temperatures. Similar results  
425 were found for temperatures for plants under restricted water conditions, with  $r^2$  higher than

426 0.86 and RMSE lower than 1.87 K (Table 3). Temperatures were quite well predicted above  
427 the canopy for both regimes, as shown in Figs; 4A and 4B. It may be noted, however, that  
428 around solar midday, simulated air temperatures above the canopy were almost 1 K higher for  
429 the restricted water conditions than for well-watered ones, whereas measurements disclosed  
430 similar temperatures for both cases. This is mainly due to the fact that for the simulations,  
431 only one type of plants (i.e. well-watered or under water restriction) was considered inside the  
432 greenhouse compartment, whereas for measurement purposes, the greenhouse contained both  
433 types of plants. Thus, the air temperature above the canopy in the experimental greenhouse  
434 was mainly influenced by well-watered plants. The effect of water restriction can be better  
435 seen on measured and predicted temperatures inside the canopy and on the leaf temperatures  
436 with values in well-watered conditions greater by up to 1 K to 2 K compared with plants  
437 under well-watered conditions, as indicated in Figs. 5A and 5B. As expected, the transpiration  
438 cooled the leaves of plants and refreshed the adjacent air. Water restriction limited  
439 transpiration and, as a result, the cooling effect almost vanished. Indeed, it can be seen that  
440 the measured and predicted temperatures for the leaves were lower than the temperature of the  
441 air inside the canopy during daytime for the well-watered case (Fig. 5A). This difference  
442 became very small in the case of water restriction (Fig. 5B) since the transpiration rate was  
443 too low to cool the leaves.

444 As mentioned before, particular care was paid to correctly simulate the shade screen. When  
445 comparing the measured and simulated temperatures of the screen for both well-watered and  
446 restricted water conditions, only a small difference was reported with RMSE of less than 3 K  
447 for temperatures within the 287-315 K range, and an  $r^2 > 0.95$  was found for both regimes  
448 (Fig. 6). A thorough analysis shows that during the daytime, simulated temperatures were in  
449 good agreement with measured ones for both regimes, whereas simulated temperatures were

450 greater at night. This difference probably stems from the fact that the shade screen was  
451 considered as a solid medium instead of a porous medium. As a consequence, mass flow  
452 through the screen was not simulated and convective heat transfer through the screen was  
453 only limited to transfers through the two lateral chimneys. Simulations also show that the  
454 impact of water restriction on the screen temperature was negligible (Fig. 6).

### 455 **3.1.2 Humidity**

456 The relative humidity was studied at two locations for both regimes, as was done for  
457 temperature. The time evolution of the measured and predicted relative humidity is plotted in  
458 Figs. 7A and 7B. As expected, the predicted and measured *RH* were higher inside the canopy  
459 than in the air just above, especially for the well-watered case. For the restricted water case,  
460 the measured and predicted humidity above and inside the canopy remained similar because  
461 of the decrease of the transpiration rate (explained below) and the higher temperature inside  
462 the canopy compared with the well-watered case. In general, acceptable agreement was found  
463 between measured and calculated humidity for both water regimes. However, the model  
464 overestimated the humidity inside the canopy (*RHa2*) mainly for the restricted water case.  
465 This could be due to the fact that since the simulated shade screen was considered as not  
466 being porous, it prevented the air from flowing through, thus limiting the evacuation of water  
467 vapour outside the greenhouse. The following results were obtained for all relative humidity:  
468  $r^2 > 0.94$  and  $RMSE < 10\%$  for well-watered plants, and  $r^2 > 0.78$  and  $RMSE < 6.69\%$  for the  
469 restricted water case (Table 3). Good agreement between measured and simulated soil matric  
470 potential was also found with  $r^2 = 0.99$  and  $RMSE = 0.32$  kPa for the restricted water case.

### 471 **3.1.3 Plant properties**

#### 472 **a. Stomatal resistance**

473 The CFD model again showed its ability to correctly predict the time evolution of the  
474 stomatal resistance  $R_s$  throughout the day for sunlit leaves and for both regimes (Figs. 8A and

475 8B) with  $RMSE = 133.58 \text{ s m}^{-1}$  and  $r^2 = 0.48$  for the well-watered case. For the case of water  
476 restriction,  $RMSE=389.12 \text{ s m}^{-1}$  and  $r^2=0.45$  were obtained. Furthermore, as shown in Figs.  
477 8A and 8B, measured and predicted  $R_s$  for the restricted water case were higher than  $R_s$  for  
478 the well-watered case, for sunlit leaves. These differences were clearly related to the  
479 irrigation regime. The stomatal resistance (Fig. 8B) increased (from about 10-11 am) to limit  
480 the transpiration when the soil matric potential decreased and the extraction of available water  
481 from the substrate became more difficult (Verhoef & Egea, 2014). Similar behavior was  
482 reported for well-watered plants (Fig. 8A), but to a far lesser extent. In that case, the evolution  
483 of  $R_s$  was instead linked to the evolution of the global radiation and the air-to air-vapour  
484 pressure deficit.

#### 485 **b. Transpiration**

486 As expected, the measured and simulated transpirations for the restricted water case (Fig. 9B)  
487 were lower than the ones for the well-watered case (Fig. 9A). Globally, the model  
488 demonstrated its ability to correctly predict the time evolution of the transpiration rate with  
489 good accuracy for both regimes:  $r^2 = 0.98$  and  $RMSE = 9.92 \text{ W m}^{-2}$  for the well-watered case,  
490 and  $r^2 = 0.98$  and  $RMSE = 3.50 \text{ W m}^{-2}$  for the restricted water case. The relative error for  
491 accumulated transpiration over 23 h was also estimated, leading to an underestimation by the  
492 model of 11.40% for the well-watered case and of 5.12% for the restricted water case.

493 Simulations also revealed heterogeneities in the transpiration rate distribution both for the  
494 well-watered and restricted water cases inside the canopy, generally from the top to the  
495 bottom of the canopy, as shown in Figs. 10A and 10B. The distribution of transpiration was  
496 strongly dependent on the global radiation distribution over the canopy height, with high  
497 values at the top and a decrease toward the bottom. Also, as mentioned earlier, the lower the  
498 stomatal resistance was, the higher the transpiration rate was, and the stomatal resistance itself

499 was lower for higher values of global radiation. This fact also partly explains why  
500 transpiration rates of sunlit leaves at the top of the canopy were higher than that of the shaded  
501 leaves inside and at the bottom of the canopy.

### 502 **3.2 Test of different irrigation scenarios**

503 Once the model was validated, it was used to test a set of irrigation scenarios, with the  
504 aim to assess the behaviour of the plants in response to different levels of water restriction.  
505 The idea was to identify to what extent water inputs could be reduced without really  
506 impacting plant transpiration and, subsequently, plant activity in general.

507 Six irrigation regimes were tested and a reference case was chosen corresponding to a  
508 100% irrigation regime, i.e., to a substrate retention capacity of  $0.870 \text{ m}^3 \text{ m}^{-3}$ . This value was  
509 set as an initial condition inside the substrate at the beginning of the simulation at 10 pm. For  
510 the other irrigation regimes, the amount of initial water content was reduced by using 90% of  
511 the water supply compared to the reference case ( $0.783 \text{ m}^3 \text{ m}^{-3}$ ), 80% ( $0.696 \text{ m}^3 \text{ m}^{-3}$ ), 70%  
512 ( $0.609 \text{ m}^3 \text{ m}^{-3}$ ), 60% ( $0.522 \text{ m}^3 \text{ m}^{-3}$ ) and 50% ( $0.435 \text{ m}^3 \text{ m}^{-3}$ ). The radiation extinction  
513 coefficient was fixed at 0.95 for cases when the peat matric potential was greater than -10 kPa  
514 and at 0.64 for cases when it was lower than -10 kPa as reported from former measurements  
515 of the PAR distribution inside the canopy. A first 1-h simulation was initiated at 10 pm using  
516 the boundary conditions described in Figs. 2 and 3 and assuming that all of the variables were  
517 uniformly distributed over the whole calculation domain at that time in order to obtain the  
518 initial conditions at 11 pm. The simulations with 1-hour time steps were then carried out  
519 considering a 23-h period from 11 pm on June 17<sup>th</sup> to 11 pm on June 18<sup>th</sup>, 2014, with values  
520 for the boundary conditions also inferred from the same measurements used for the previous  
521 part (Figs. 2 and 3). As for the validation case, a one-hour time step was chosen for  
522 simulations.

523 Table 4 provides the main results obtained for the different irrigation scenarios. These  
524 results are analysed into details in the following sections.

### 525 3.2.1 Temperature

526 Table 4 compares restricted water cases with the reference case and shows the  
527 increasing impact of water restriction on air temperature just above the canopy ( $Ta1$ ) as the  
528 surrounding air becomes warmer when water inputs are reduced. This trend increased inside  
529 the canopy for  $Ta2$ . For the leaf temperature  $Tl$ , the temperature difference with the reference  
530 case also increased with water restriction. The difference between the leaf temperatures and  
531 the adjacent air temperatures may be used as an indicator of plant water stress (González-  
532 Dugo, Moran, Mateos, & Bryant, 2006; Jackson, Idso, Reginato, & Pinter, 1981). Indeed,  
533 with the decrease of the water supply, the amount of water evacuated by transpiration  
534 decreased until it became too low to cool the leaves, as described below. The results of the  
535 average difference between  $Tl$  and  $Ta2$  calculated for each irrigation regime clearly showed  
536 that the gap between the air temperatures inside the canopy and leaf temperatures was reduced  
537 with the increase in water restriction until the leaf temperatures became greater than the  
538 neighbouring air temperature. This type of situation occurred when the water supply was  
539 reduced by more than 30%:  $Tl$  became higher than  $Ta2$  by 0.5 K (Table 4), leading to plant  
540 water stress. A threshold value of 0.5 K difference in temperature between leaves and air  
541 (scenarios 60% and 50%) was considered as the criterion for discussing plant stress. As for  
542 the 70% scenario, a positive average of difference ( $Tl-Ta2$ ) of 0.2 K close to the temperature  
543 probe accuracy could hardly be detected by this one and could therefore not be considered as  
544 significant. Indeed, for the comfort of the plant, it is preferable to keep the temperature of the  
545 leaves ( $Tl$ ) lower, or almost equal to, the temperature of the adjacent air ( $Ta2$ ) (Gonzalez-  
546 Dugo, Zarco-Tejada, & Fereres, 2014).

547           The temporal evolutions of the simulated air temperatures at two locations above and  
548 inside the canopy (refer to Fig. 1) and simulated leaf temperatures for the six irrigation  
549 regimes are shown in Fig. 11. These temperatures followed the same trend for all irrigation  
550 regimes with a bell shape of the temperature curves. Nevertheless, they slightly increased  
551 when the water supply was reduced. For instance, for a 50% water supply, the air  
552 temperatures above and inside the canopy, as well as the leaf temperatures, could be up to 4  
553 K, 5 K and 3 K greater than the reference case, respectively. This may be explained by the  
554 fact that a modification of the water regime strongly impacted the transpiration rate, i.e., the  
555 latent heat transfer, and, consequently, the energy balance over the canopy.

556           A comparison between the leaf and air temperatures inside the canopy for the different  
557 irrigation regimes was also undertaken to assess the effect of water restriction on the  
558 transpiration process. For the well-watered conditions corresponding to the reference case, the  
559 leaf temperatures in the middle of the day were smaller than the adjacent air temperatures  
560 simulated inside the canopy at location 2 (referring to Fig. 1). Indeed, plant transpiration  
561 cooled the leaves of plants and, consequently, refreshed the adjacent air. This cooling process  
562 was reduced for lower transpiration rates until the leaf temperatures became greater than the  
563 neighbouring air temperature, which happened from the 90% scenario but became significant  
564 ( $> 0.5^{\circ}\text{C}$ ) from the 60% scenario.

### 565 **3.2.2 Humidity**

566

567           The predicted time evolution of the relative humidity is plotted in Fig. 12 for the six  
568 irrigation scenarios. As expected, the relative humidity was higher inside the canopy than in  
569 the air just above or below the canopy. This is particularly true for the reference case. As the  
570 restriction degree increased, the humidity decreased at both locations because of the decrease  
571 in the transpiration rate and the higher temperatures inside the canopy.

572 This decrease is quantified for each irrigation regime in Table 4. Since it is known that high  
573 humidity levels could create favourable conditions for fungal diseases like botrytis  
574 (Bartzanas, Boulard, & Kittas, 2004), the reduction of the water supply could provide a partial  
575 solution to this problem.

576 To provide a general idea of the relative humidity distribution inside the greenhouse  
577 compartment, humidity contours for three irrigation regimes are plotted in Fig. 13 (Reference,  
578 70% and 50%). Here again, humidity distributions were almost similar for the reference case  
579 and the 70% irrigation scenario. Above the screen, humidity was mainly determined by the  
580 outside humidity of the air flow entering the greenhouse. With the increase in water restriction  
581 (50% scenario) lower values of humidity were predicted not only in the area located between  
582 the screen and the shelf, but also inside the canopy and under the shelves.

### 583 **3.2.3 Peat matric potential**

584

585 The predicted peat matric potential evolution according to the water irrigation scenario  
586 is presented in Fig. 14. The peat matric potential decreased with decreasing water supply,  
587 reaching a minimal value of nearly -65 kPa for the 50% water supply scenario. It was  
588 considered that stress began when the matric potential reached -10 kPa, and the permanent  
589 wilting point (i.e., plant death) occurred when it was lower than -100 kPa (Gobat, Aragno, &  
590 Matthey, 2004). In the present study, irrigation scenarios did not make it possible to reach the  
591 permanent wilting point. Nevertheless, for cases for which the water supply was less than  
592 80% of the reference, the -10 kPa threshold was reached, so it could be considered that water  
593 stress was only obtained for lower water content scenarios. As expected, it was also predicted  
594 that the greatest decrease in the growing media matric potential for a given irrigation regime  
595 occurred during the day as a consequence of the higher transpiration activity of the plants.

### 596 3.2.4 Plant properties

#### 597 a. Stomatal resistance

598 As also reported in the validation stage, the decrease in water supply caused an  
599 increase in  $R_s$  (Fig. 15). Indeed, the progressive closure of stomatal apertures limited plant  
600 transpiration as the growing medium matric potential decreased (Fig. 14), meaning that the  
601 extraction of available water from the substrate had become more difficult (Verhoef & Egea,  
602 2014). However, once the soil water potential was higher than -10 kPa (considering the  
603 threshold reported by (Cannavo et al., 2016)), the stomatal resistance of the sunlit leaves was  
604 almost in the same range (96-1141 s m<sup>-1</sup>) with no significant difference, regardless of the  
605 irrigation regime (see Figs. 14 and 15). For these water potentials, it may thus be deduced that  
606 the transpiration activity of the plant will be maintained at a level comparable to the reference  
607 case (well-watered). The minimal stomatal resistance simulated for each irrigation regime was  
608 found to be barely affected by water restriction except when it dropped to lower than 70% of  
609 the reference case (Table 4).

#### 610 b. Transpiration

611 Not surprisingly, predicted transpiration rates (Fig. 16) decreased with lower water  
612 inputs. From the reference 100% to 60% of the water supply, little reduction on plant  
613 transpiration was predicted. Greater differences appeared when the water supply was reduced  
614 by 50%.

615 The cumulated transpiration ratios (CTR) between a given irrigation scenario and the  
616 reference are provided in Table 4, showing a progressive decrease in the transpiration rate  
617 while the stomata apertures were closing, following a reduction in water input. This trend was  
618 not linear and increased for high water depletion.

619 The distribution of transpiration inside the crop also revealed heterogeneities mainly  
620 associated with the non-homogeneous distribution of  $R_s$  (Fig. 15) throughout the canopy. The

621 leaves located near the ground of the crop had the lowest transpiration rates and, conversely,  
622 the highest transpiration rates were simulated at the top of the crop. The transpiration  
623 distributions were almost the same for the reference case (Fig. 17a) and 70% of the water  
624 supply (Fig. 17b), whereas for the 50% water supply scenario (Fig. 17c), distributions were  
625 very different from the reference case with far lower  $Tr$  values. However, the horizontal  
626 distribution of the transpiration rates seemed to be barely impacted by the horizontal  
627 heterogeneity in air velocity and humidity inside the canopy, meaning that the radiation  
628 distribution was probably the main factor affecting the transpiration rate.

#### 629 **4 CONCLUSION**

630

631 The aim of this study was to investigate crop transpiration inside a greenhouse  
632 compartment, focusing on cases for which plants were under water restriction. To reach this  
633 goal, an unsteady CFD model was implemented that included an adapted crop sub-model that  
634 took the water balance inside the substrate-plant-atmosphere continuum into account. The  
635 CFD model showed its ability to correctly predict the evolution of the soil matric potential,  
636 microclimatic temperatures and plant transpiration both for well-watered and restricted water  
637 regimes. The model also correctly predicted the differences between both regimes: the  
638 measured and simulated air temperatures inside the canopy and leaf temperatures were higher  
639 for the restricted water conditions than for the well-watered case. Also, and as expected, the  
640 measured and simulated transpiration rates were lower for the plants under water restriction  
641 than for the plants under well-watered conditions.

642 In a second step, the effect of six water regimes on plants and the microclimate under  
643 greenhouse conditions was studied. The CFD model made it possible to quantify the impact  
644 of the different irrigation regimes on the air temperature, relative humidity above and inside  
645 the canopy, leaf temperatures, growing media matric potentials, stomatal resistances and

646 transpiration rates. Conclusions similar to those obtained for the validation stage were  
647 addressed concerning the evolution of temperature and relative humidity.

648 Hence, CFD simulations could be helpful to improve water management strategy  
649 making it possible to preserve the microclimate conditions adapted to plant development  
650 while reducing water inputs. To avoid stomatal closure (which would reduce photosynthesis  
651 activity) and maintain transpiration activity, the leaf temperatures should remain close to the  
652 adjacent air temperatures during the day. From that point of view, the scenario with 70%  
653 water supply appears to be a good compromise. Moreover, using 70% of water instead of  
654 100% makes it possible to save 0.19 l per container and per day. In addition to spare water,  
655 another advantage of reducing water supply is that it contributes to decrease humidity and  
656 therefore risks of fungal diseases or mould development.

657 Nevertheless, the impact of water restriction on plant architecture should also be  
658 investigated to ensure that the plants will remain marketable. Furthermore, the model still  
659 needs improvements to better predict plant interaction with the local climate conditions. Thus,  
660 it will be interesting to investigate the impact of water restriction on photosynthetic activity  
661 by including the CO<sub>2</sub> cycle inside the model. Eventually, in the next stage, 3D simulations  
662 will be implemented to assess the distribution of climatic and plant variables and increase the  
663 realism of the model.

#### 664 **ACKNOWLEDGEMENTS**

665 This study was carried out within the EPHor Research Unit at Agrocampus Ouest, in  
666 Angers, France. The authors would like to thank the Premier Tech Company for providing the  
667 peat, and D. Lemesle and D. Renard, who performed the laboratory measurements.  
668 Experiments were conducted at the ImHorPhen (shared experimental facilities) with the  
669 assistance of R. Gardet.

670

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697 Fig. 7. Time evolution of measured and simulated relative humidities above ( $RHa2$ ) and  
698 inside ( $RHa1$ ) the crop, for well-watered plants (A) and for plants under restricted water  
699 conditions (B).

700 Fig. 8. Time evolution of measured and simulated stomatal resistance of sunlit leaves for  
701 plants under well-watered (A) and restricted water (B) conditions.

702 Fig. 9. Time evolution of measured and simulated transpiration rates for plants under well-  
703 watered (A) and restricted water (B) conditions.

704 Fig. 10. Representation of the area assigned to the crop within the CFD domain where a  
705 distribution of the crop transpiration rate ( $W\ m^{-2}$ ) was simulated inside the canopy domain at  
706 12 pm on June 18<sup>th</sup>, 2014, for well-watered (A) and restricted water (B) conditions.

707 Fig. 11. Time evolution of simulated air temperatures above ( $T_{a1}$ ) and inside ( $T_{a2}$ ) the crop,  
708 and average leaf temperatures ( $T_l$ ), for the different irrigation regimes.

709 Fig. 12. Time evolution of simulated relative humidity above ( $RHa1$ ) and inside ( $RHa2$ ) the  
710 canopy for the different irrigation regimes.

711 Fig. 13. Distributions of relative humidity inside the greenhouse compartment with a crop at  
712 12 PM for three irrigation scenarios; ref.: 100% (a); 70% (b); and 50% (c).

713 Fig. 14. Time evolution of simulated peat matric potential for different irrigation regimes.

714 Fig. 15. Time evolution of simulated stomatal resistance of sunlit leaves for different  
715 irrigation regimes.

716 Fig. 16. Time evolution of simulated transpiration rates for different irrigation regimes.

717 Fig. 17. Simulated distribution of the crop transpiration rate ( $W m^{-2}$ ) at 12 pm on June 18<sup>th</sup>,  
718 2014, for plants under 100% water supply (a), 70% (b) and 50% (c).

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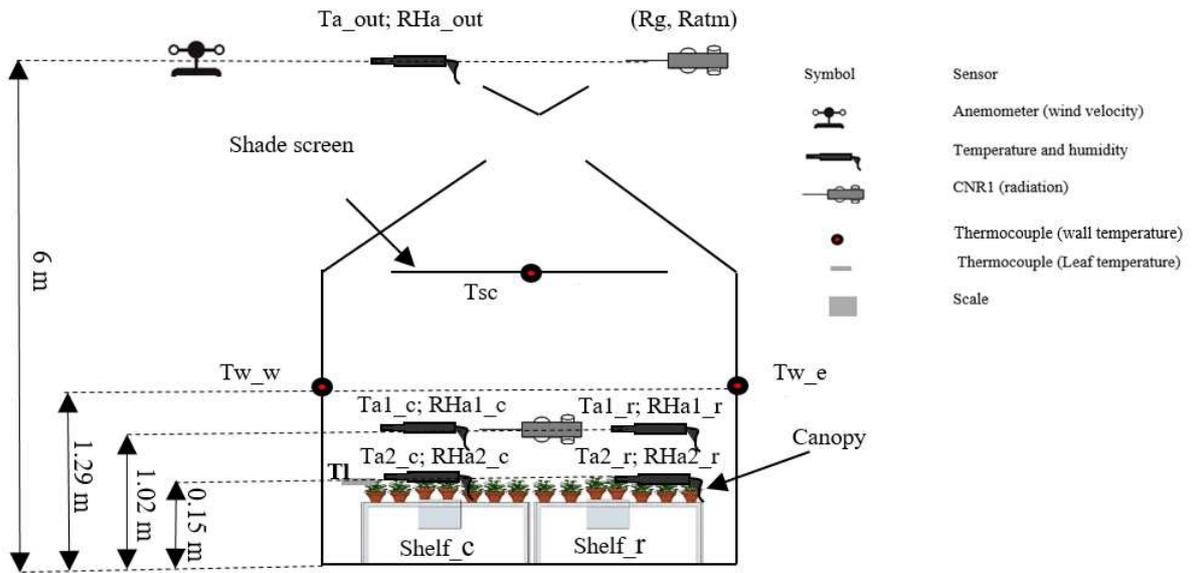


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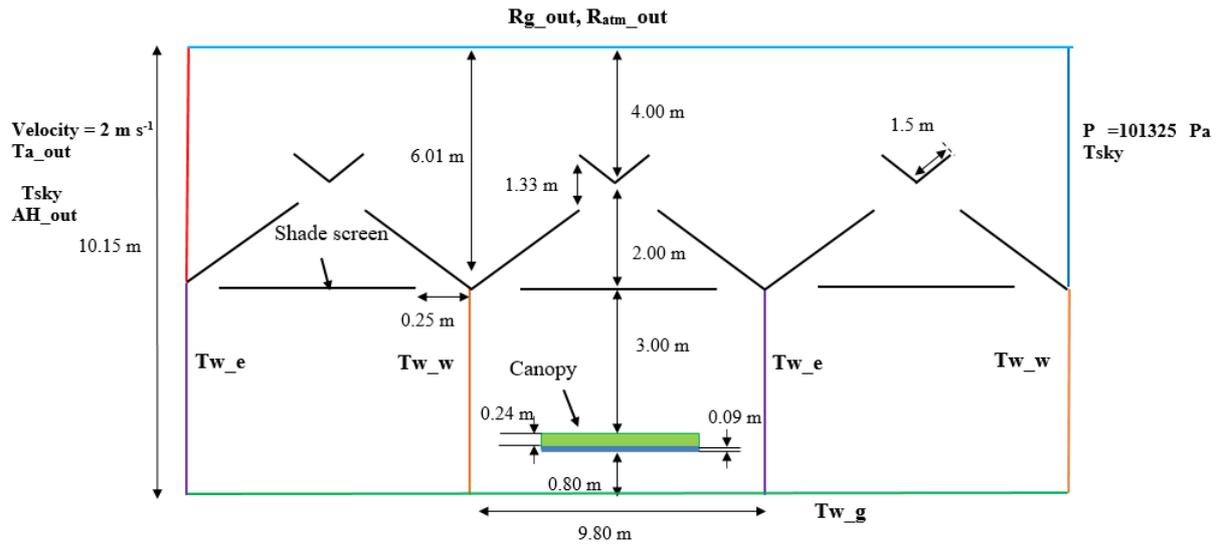


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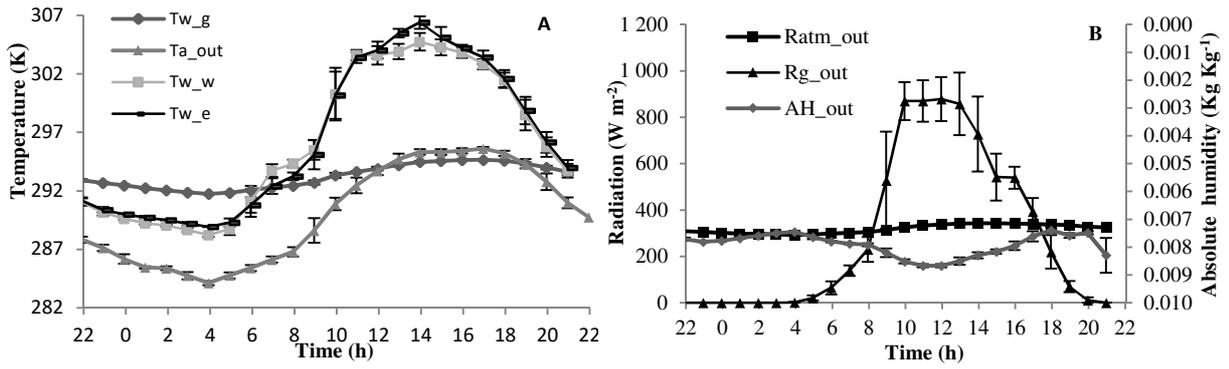


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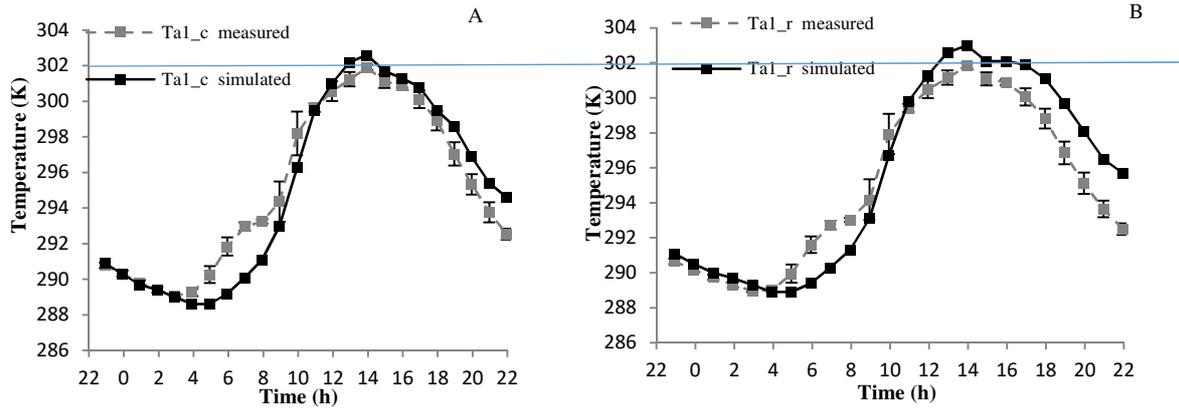


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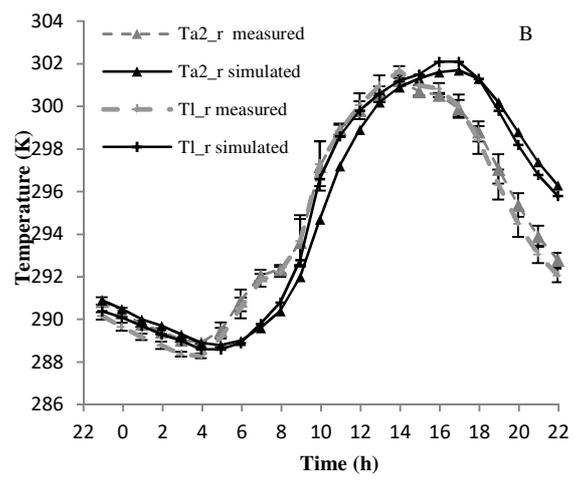
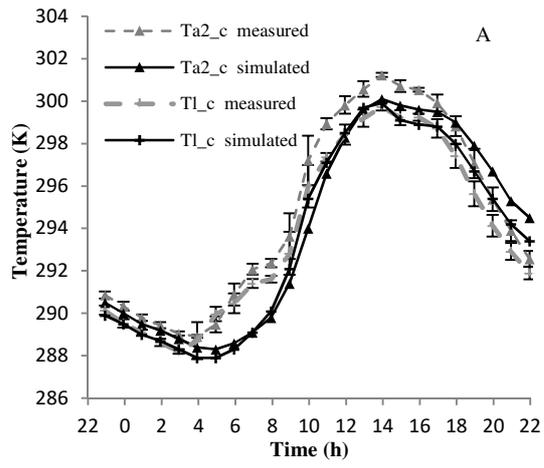


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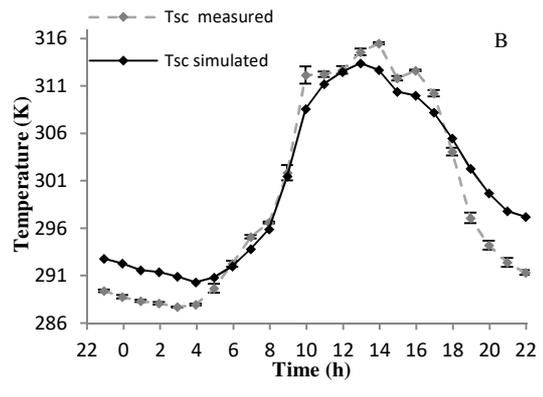
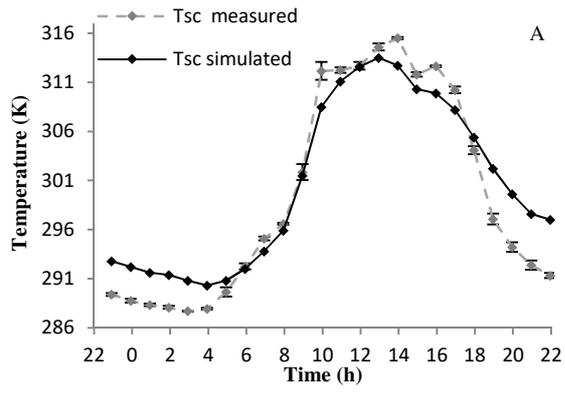


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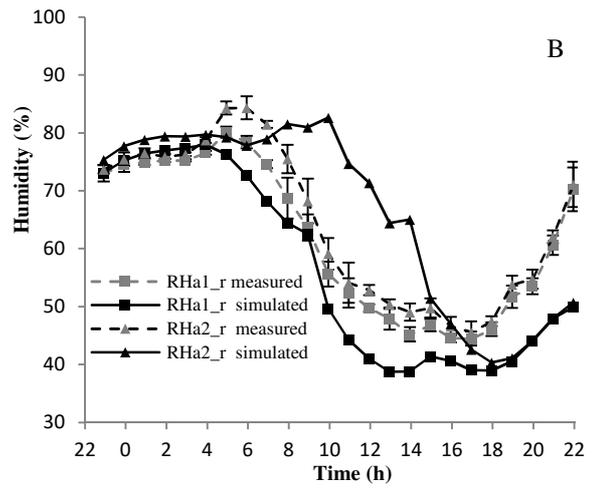
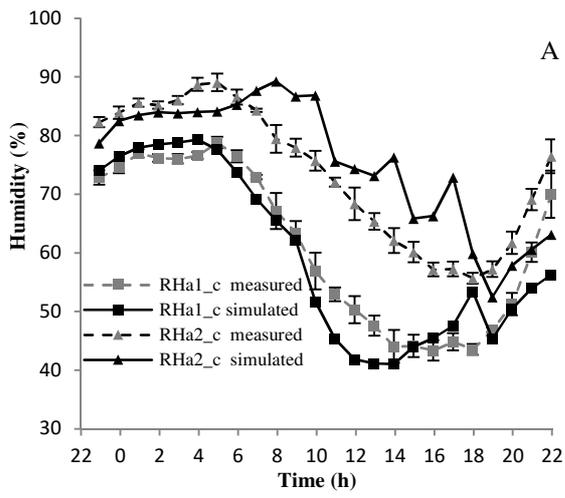


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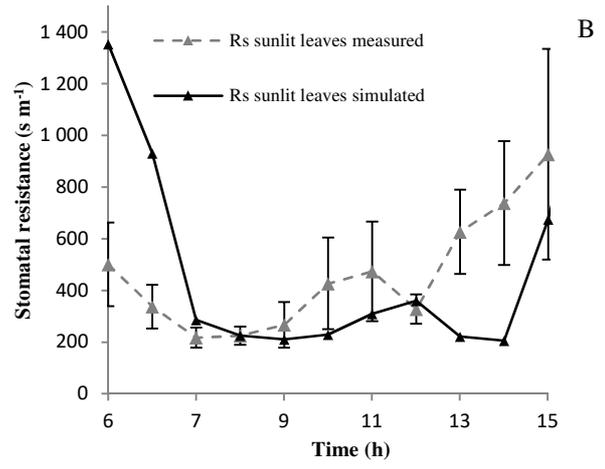
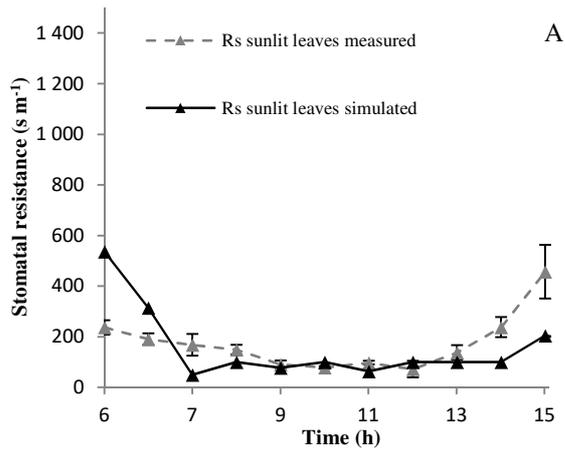


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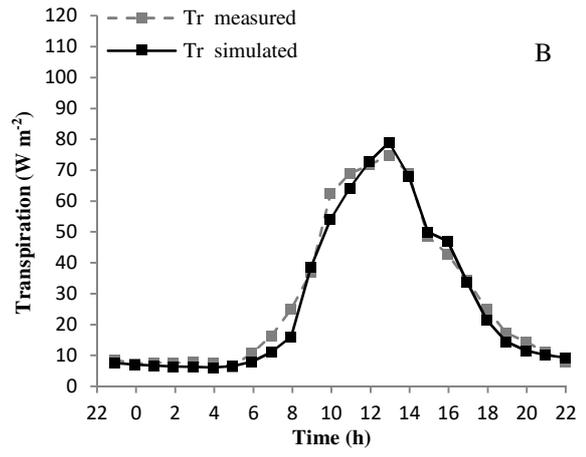
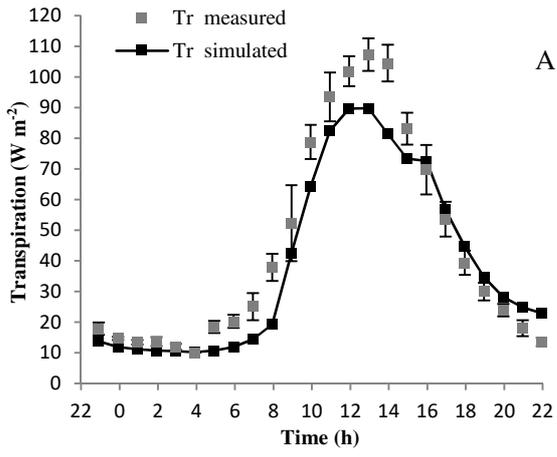


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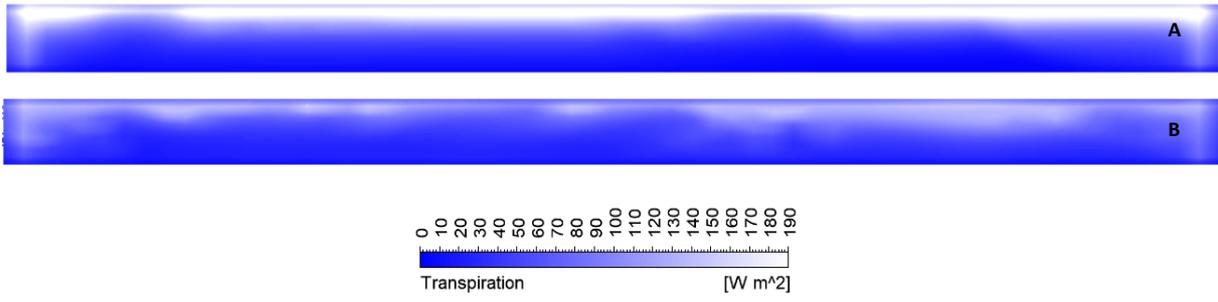


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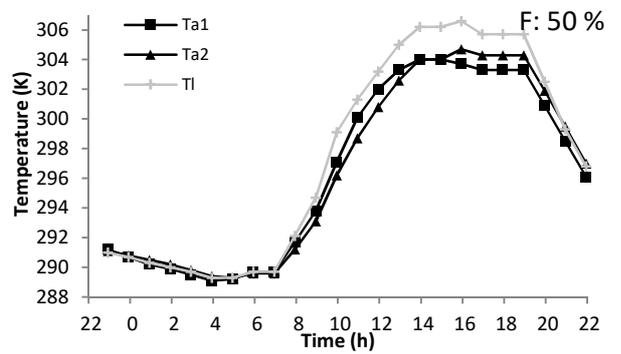
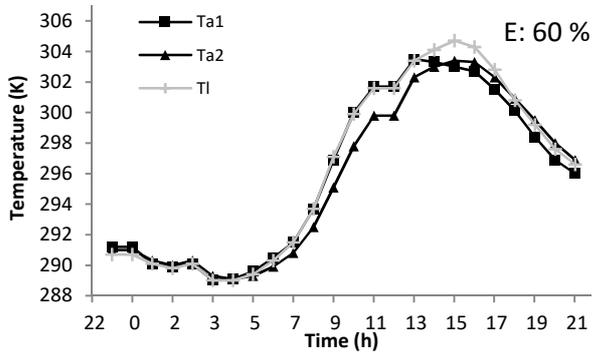
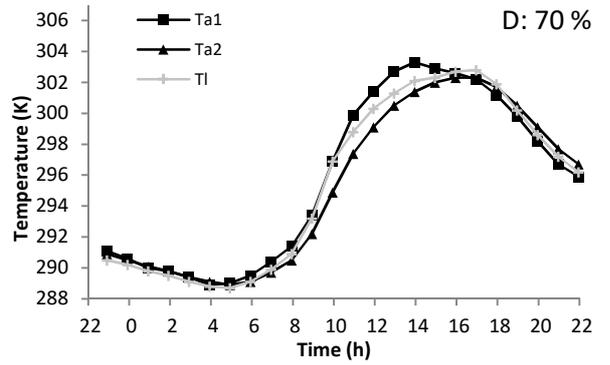
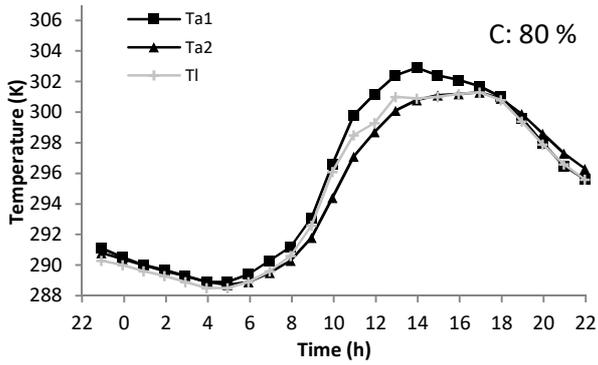
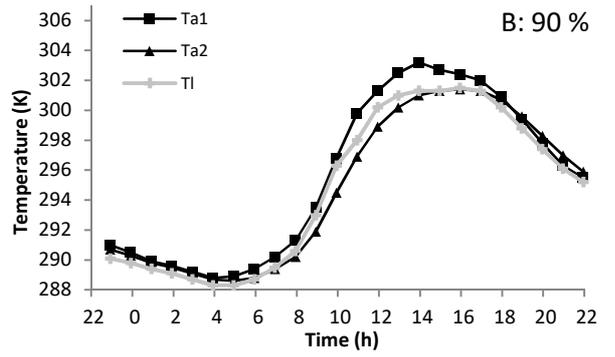
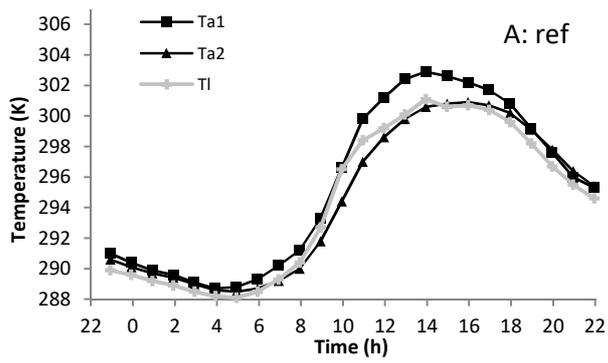


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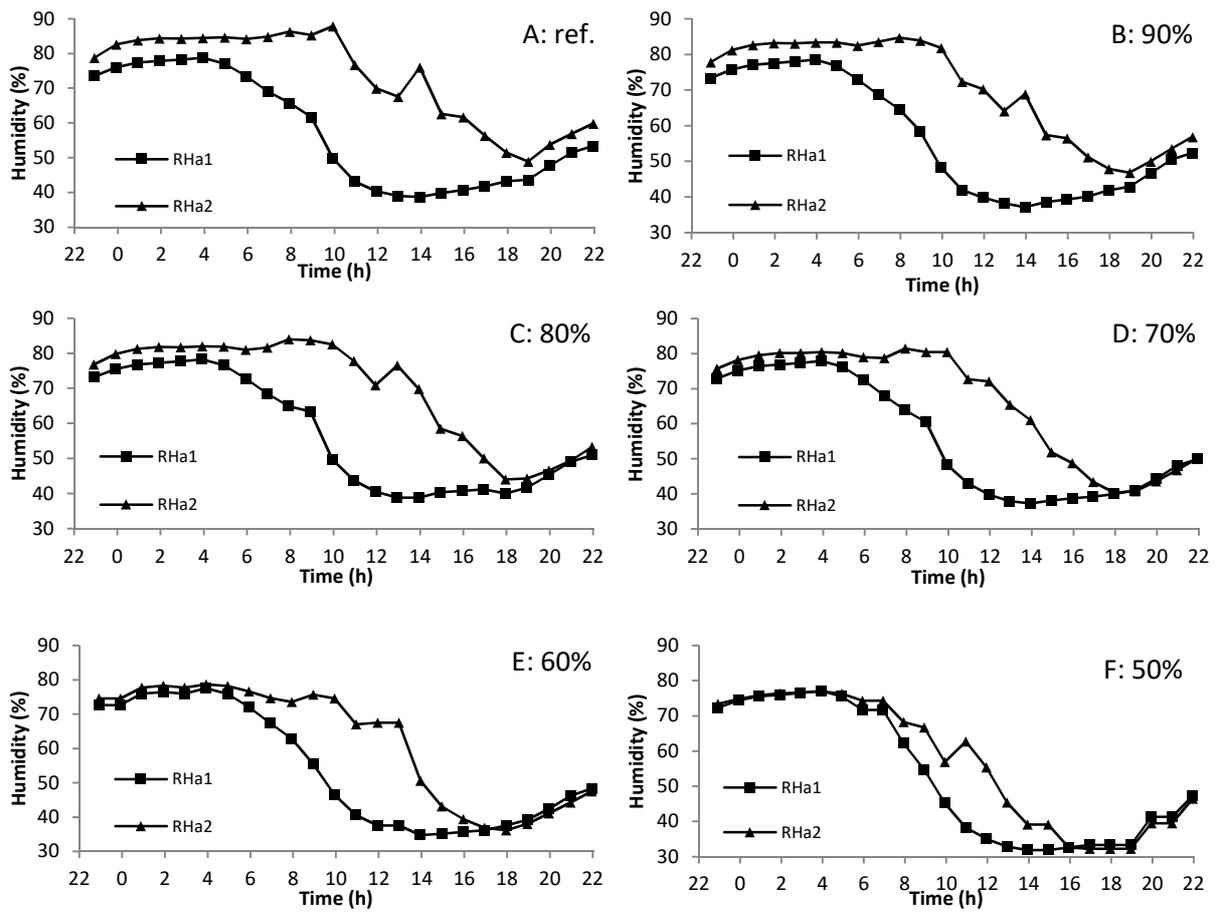


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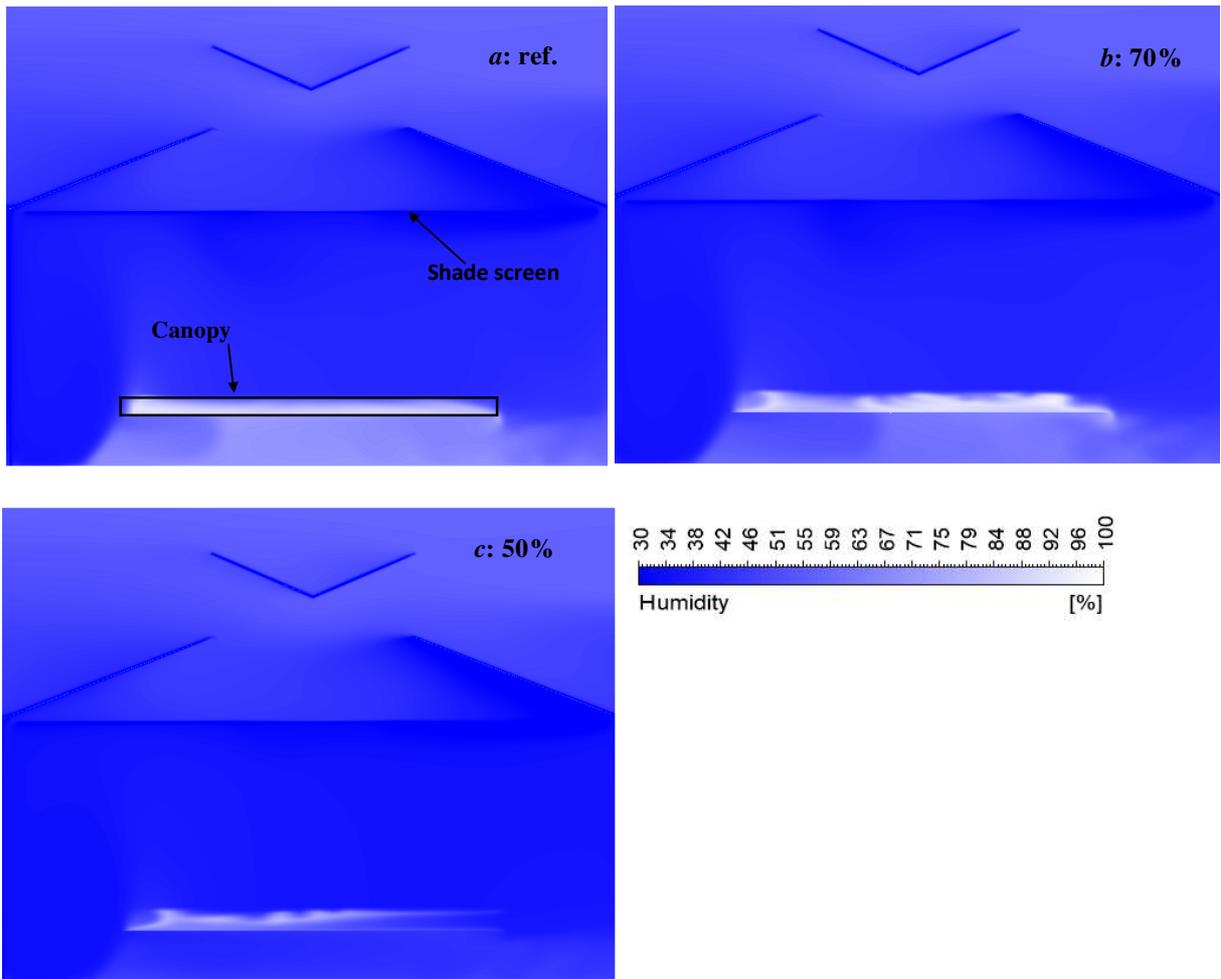


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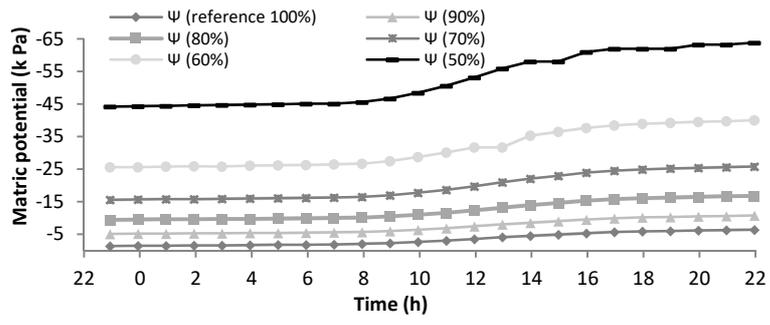


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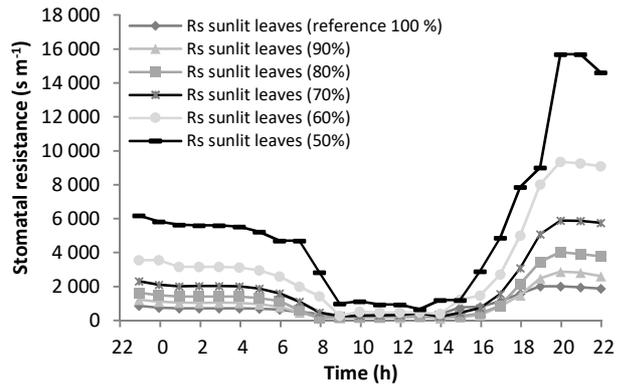


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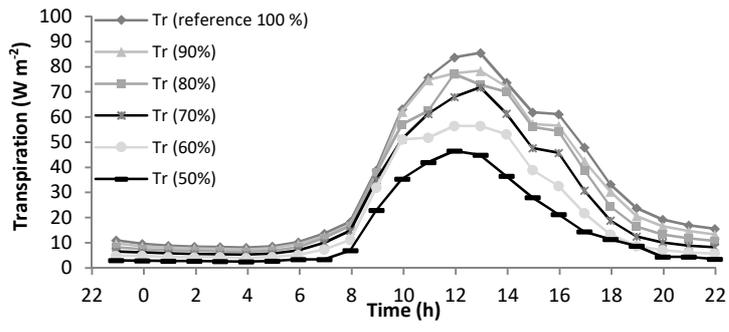


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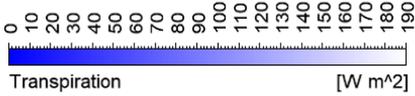


Figure 17

Table 1

Location (material)	Layer(s) thickness, mm	Density (kg m <sup>-3</sup> )	Specific heat (J K <sup>-1</sup> kg <sup>-1</sup> )	Thermal conductivity (W m <sup>-1</sup> K <sup>-1</sup> )	Refractive index	Emissivity
Roofs, Walls (glass)	4	2500	800	800	1.52	0.9
Shelves (aluminum)	5	2719	871	202.4	-	0.1
Shade screen	2	940	2260	0.15	1.9	0.1
Soil (concrete)	1000	2300	2300	202.4	-	0.5
Air	-	1.22	1006	0.0242	1	-
Water vapour	-	0.554	f(T)	0.0261	1	-

Table 2

CFD component	Setting
Solver	2D Pressure based algorithm Simple scheme pressure-velocity coupling 2nd order implicit upwind scheme for spatial and time discretization standard scheme for pressure discretization
Density	Ideal gas law to compute density
Turbulence	Standard k-ε Standard wall functions
Radiation	DO (discrete ordinates) Theta divisions: 10 Iterations ratio (flow/radiation): 10
Species model	Mixture (air and water vapour)
Relaxation factors	0.3 for water vapour, kinetic energy, dissipation rate, turbulent viscosity
Convergence criteria	0.5 for DO, energy, pressure, density, body forces, momentum $10^{-6}$ for continuity, velocity, kinetic energy, dissipation rate, energy, water vapour mass fraction, radiation
Cells number	151*330
Time step size	1h
Number of time steps	23
Iterations per time step	1500

Table 3

Case study	Parameter	Ta1 (K)	Ta2 (K)	Tsc (K)	T <sub>1</sub> (K)	RHa1 (%)	RHa2 (%)
<b>Well-watered</b>	r <sup>2</sup>	0.94	0.91	0.95	0.95	0.98	0.94
	RMSE	1.34	1.56	3.01	1.04	4.99	9.97
<b>Water restriction</b>	r <sup>2</sup>	0.92	0.86	0.95	0.89	0.91	0.78
	RMSE	1.69	1.87	3.06	1.83	6.69	9.76

Table 4

<b>Irrigation scenario/</b>	<b>Ref. (100%)</b>	<b>90%</b>	<b>80%</b>	<b>70%</b>	<b>60%</b>	<b>50%</b>
<b>Simulated quantity</b>						
$D_{ref,j}(Ta1 [K])$		0.16	0.20	0.35	0.61	1.33
$D_{ref,j}(Ta2,i [K])$		0.34	0.45	0.89	1.37	2.37
Average $T_1-Ta2 [K]$	-0.10	0.01	0.02	0.22	0.52	0.92
$D_{ref,j}(RHa1,i [%])$		1.12	1.29	1.87	3.72	5.89
$D_{ref,j}(RHa2,i [%])$		3.37	4.74	7.58	12.42	18.05
Cumulated transpiration CTR [%]		93	86	75	61	44